

**Annexure - B**
**Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-**

Format for disclosing data of complaints on their website:

**Data for the month ending 31-03-2022**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		NIL

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Month – wise data for the current financial year\***

<b>SN</b>	<b>Month</b>	<b>Carried forward from month</b>	<b>Received</b>	<b>Resolved</b>	<b>Pending</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	July, 2021	NIL	NIL	NIL	NIL
2	August, 2021	NIL	NIL	NIL	NIL
3	September, 2021	NIL	NIL	NIL	NIL
4	October, 2021	NIL	NIL	NIL	NIL
5	November, 2021	NIL	NIL	NIL	NIL
6	December, 2021	NIL	NIL	NIL	NIL
7	January, 2022	NIL	NIL	NIL	NIL
8	February, 2022	NIL	NIL	NIL	NIL
9	March, 2022	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis) \***

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received</b>	<b>Resolved</b>	<b>Pending</b>
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

\*The data shall be emailed to [rta@sebi.gov.in](mailto:rta@sebi.gov.in)