

**Annexure -B**

**Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-**

Format for disclosing data of complaints on their website:

**Data for the month ending 31-08-2025**

| SN | Received from                 | Carried forward from previous month | Received during the month | Total Pending# | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----|-------------------------------|-------------------------------------|---------------------------|----------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|    |                               |                                     |                           |                |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | 2                             | 3                                   | 4                         | 5              | 6         | 7                                 |                                | 8                                  |
| 1  | Directly from Investors       | NIL                                 | NIL                       | NIL            | NIL       | NIL                               |                                | NIL                                |
| 2  | SEBI (SCORES)                 | NIL                                 | NIL                       | NIL            | NIL       | NIL                               |                                | NIL                                |
| 3  | Stock Exchanges (if relevant) | NIL                                 | NIL                       | NIL            | NIL       | NIL                               |                                | NIL                                |
| 4  | Other Sources (if any)        | NIL                                 | NIL                       | NIL            | NIL       | NIL                               |                                | NIL                                |
| 5  | Grand Total                   | NIL                                 | NIL                       | NIL            | NIL       | NIL                               |                                | NIL                                |
|    |                               |                                     |                           |                |           |                                   |                                |                                    |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



**Month – wise data for the current financial year\***

| <b>SN</b> | <b>Month</b>       | <b>Carried forward from previous month</b> | <b>Received</b> | <b>Resolved</b> | <b>Pending</b> |
|-----------|--------------------|--------------------------------------------|-----------------|-----------------|----------------|
| <b>1</b>  | <b>2</b>           | <b>3</b>                                   | <b>4</b>        | <b>5</b>        | <b>6</b>       |
| 1         | April, 2025        | NIL                                        | NIL             | NIL             | NIL            |
| 2         | May, 2025          | NIL                                        | 7               | 7               | NIL            |
| 3         | June, 2025         | NIL                                        | NIL             | NIL             | NIL            |
| 4         | July, 2025         | NIL                                        | 2               | 2               | NIL            |
| 5         | August, 2025       | NIL                                        | NIL             | NIL             | NIL            |
|           | <b>Grand Total</b> | NIL                                        | 9               | 9               | NIL            |

**Trend of annual (Financial Year) disposal of complaints (for 5 years on rollingbasis)**

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| <b>SN</b> | <b>Year</b>        | <b>Carried forward from previous year</b> | <b>Received</b> | <b>Resolved</b> | <b>Pending</b> |
|-----------|--------------------|-------------------------------------------|-----------------|-----------------|----------------|
| 1         | 2020-21            | NIL                                       | NIL             | NIL             | NIL            |
| 2         | 2021-22            | NIL                                       | NIL             | NIL             | NIL            |
| 3         | 2022-23            | NIL                                       | NIL             | NIL             | NIL            |
| 4         | 2023-24            | NIL                                       | 9               | 9               | NIL            |
| 5         | 2024-25            | NIL                                       | 26              | 26              | NIL            |
| 6         | 2025-26            | NIL                                       | 9               | 9               | NIL            |
|           | <b>Grand Total</b> | NIL                                       | 44              | 44              | NIL            |

\*The data shall be emailed to [rta@sebi.gov.in](mailto:rta@sebi.gov.in)