



Annexure -B

Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

Data for the month ending 31-03-2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	3	NIL	3	NIL		NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	3	NIL	3	NIL		NIL

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



Month – wise data for the current financial year*

SN	Month	Carried forward from month	Received	Resolved	Pending
1	2	3	4	5	6
1	April, 2023	NIL	NIL	NIL	NIL
2	May, 2023	NIL	NIL	NIL	NIL
3	June, 2023	NIL	NIL	NIL	NIL
4	July, 2023	NIL	NIL	NIL	NIL
5	Aug, 2023	NIL	NIL	NIL	NIL
6	Sep, 2023	NIL	1	1	NIL
7	Oct, 2023	NIL	2	2	NIL
8	Nov, 2023	NIL	NIL	NIL	NIL
9	Dec, 2023	NIL	3	3	NIL
10	Jan, 2024	NIL	NIL	NIL	NIL
11	Feb, 2024	NIL	NIL	NIL	NIL
12	Mar, 2024	NIL	3	3	NIL
	Grand Total	NIL	9	9	NIL

Trend of annual (Financial Year) disposal of complaints (for 5 years on rollingbasis)

*

SN	Year	Carried forward from previous year	Received	Resolved	Pending
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	9	9	NIL
	Grand Total	NIL	9	9	NIL

*The data shall be emailed to rta@sebi.gov.in