

**Annexure -B**

Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

Data for the month ending 28-02-2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	1	NIL	1	NIL		1 (#)
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	1	NIL	1	NIL		1
Note : (#) All 1 complaints dose not pertain to CVL RTA.								

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



Month – wise data for the current financial year*

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	April, 2024	NIL	3	3	NIL
2	May, 2024	NIL	3	3	NIL
3	June, 2024	NIL	2	2	NIL
4	July, 2024	NIL	2	2	NIL
5	August, 2024	NIL	1	1	NIL
6	Sept, 2024	NIL	2	2	NIL
7	October, 2024	NIL	5	5	NIL
8	November, 2024	NIL	2	2	NIL
9	December, 2024	NIL	2	2	NIL
10	January, 2025	NIL	1	1	NIL
11	February, 2025	NIL	1	1	NIL
	Grand Total	NIL	24	24	NIL

Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis)

*

SN	Year	Carried forward from previous year	Received	Resolved	Pending
1	2020-21	NIL	NIL	NIL	NIL
2	2021-22	NIL	NIL	NIL	NIL
3	2022-23	NIL	NIL	NIL	NIL
4	2023-24	NIL	9	9	NIL
5	2024-25	NIL	24	24	NIL
	Grand Total	NIL	33	33	NIL

*The data shall be emailed to rta@sebi.gov.in